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Increasing female sex worker HIV testing Effects of peer educators and HIV self-tests in Zambia

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International Initiative for Impact Evaluation

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3ie accepted the final version of the report, *Increasing female sex worker HIV testing: effects of peer educators and HIV self-tests in Zambia*, as partial fulfilment of requirements under grant TW2.2.15 awarded through Thematic Window 2, the HIV Self-Testing Evidence Programme. The content has been copy-edited and formatted for publication by 3ie. Despite best efforts in working with the authors, some figures and tables could not be improved or references fully corrected. We have copy-edited the content to the extent possible.

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Increasing female sex worker HIV testing: effects of peer educators and HIV self-tests in Zambia

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Summary

HIV testing is the critical first step for realization of the 90-90-90 target, which aims to have 90 percent of people living with HIV aware of their status, 90 percent linked to care and 90 percent virally suppressed. However, HIV testing among female sex workers (FSWs) in Sub-Saharan Africa remains below the 90 percent target. HIV self-testing may be a strategy to increase HIV testing among FSWs, but careful evaluation of FSW-specific interventions is needed before the intervention can be implemented at scale. The objective of this study was thus to evaluate (1) the effectiveness of HIV self-test provision compared to standard-of-care HIV testing for increasing HIV testing coverage among FSWs and (2) the effectiveness of two delivery models for HIV self-test provision.

This study was a cluster randomized trial conducted in three transit towns in Zambia: Livingstone, Chirundu and Kapiri Mposhi. Eligible FSWs were recruited by a peer educator. FSW–peer educator groups were randomized in a 1:1:1 ratio to one of three groups: (1) standard-of-care, which consisted of referral to existing HIV testing facilities (N = 53 peer educators, N = 320 participants); (2) direct delivery of an HIV self-test kit from the peer educator to the participant (N = 53 peer educators, N = 316 participants); or (3) distribution of a coupon, from the peer educator, that could be used to collect an HIV self-test kit at a participating distribution point (N = 54 peer educators, N = 329 participants). The primary outcome was HIV testing during the one-month period following the first peer educator intervention. Secondary outcomes included HIV testing in the past month at the four-month visit, use of the HIV self-test in the self-testing arms, linkage to care and initiation of antiretroviral therapy.

Between September and October 2016, 965 participants were enrolled in the study. Of these, 886 had follow-up data at one month and 898 at four months. At one month, 94.9 percent and 84.4 percent of participants in the delivery and coupon arms reported testing in the past month, compared to 88.5 percent in the standard-of-care arm (delivery versus standard-of-care P = 0.10, coupon versus standard-of-care P = 0.29). Participants in the delivery arm were significantly more likely to report testing for HIV in the past month than those in the coupon arm (P = 0.005). At four months, 84.1 percent, 79.8 percent and 75.1 percent of participants reported testing for HIV in the delivery, coupon and standard-of-care arms. There were no statistically significant differences in HIV testing at four months.

At one month, participants in the delivery arm were more likely to report using the HIV selftest than those in the coupon arm (98.3% versus 86.3%; P = 0.001), but there was no difference in use at four months (89.8% versus 89.3%; P = 0.88). Although more participants in the standard-of-care arm reported linking to care at one month (74.6% versus 51.0% delivery and 52.8% coupon) and four months (85.7% versus 71.6% delivery and 76.6% coupon), there were no statistically significant differences. There were also no statistically significant differences in initiation of antiretroviral therapy at one or four months. There were three reports of intimate partner violence related to HIV self-testing.

Although HIV self-testing did not increase HIV testing, high reported use of HIV self-tests indicates that it is acceptable to FSWs in Zambia. Although directly providing the HIV self-test may increase use in the short-term, delivery models using distribution via existing distribution points (e.g. clinics or pharmacies) will likely be successful in distributing kits.

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Abbreviations and acronyms

3ie	International Initiative for Impact Evaluation
ART	Antiretroviral therapy
CI	Confidence interval
FSW	Female sex worker
NGO	Non-governmental organization
RR	Risk ratio
WHO	World Health Organization
ZEST	Zambian Peer Educators for HIV Self-Testing

1. Introduction

Achieving high HIV testing coverage is essential for realizing the first part of the UNAIDS 90-90-90 target – diagnosing 90 percent of all people living with HIV by 2020 – and for engaging in HIV prevention for individuals who are HIV-uninfected (UNAIDS 2014; Nunn et al. 2017). In December 2016, the World Health Organization (WHO) released guidelines related to HIV self-testing (WHO 2016; 2015), recommending that HIV self-testing be offered in addition to standard HIV testing services to help realize this target and as an entry point into HIV prevention services for those testing negative. In particular, the guidelines recognize the importance of developing new approaches, such as HIV self-testing for members of key populations, who frequently have lower uptake of HIV testing services due to factors such as healthcare provider stigma (Bodkin et al. 2015; King et al. 2013) or lack of legal protection (Oldenburg et al. 2016).

Female sex workers (FSWs) are a key population who have an elevated risk of HIV infection in Sub-Saharan Africa (Baral et al. 2012). FSWs have unique barriers to engagement in all steps of the HIV care cascade, including barriers and facilitators to HIV testing (Chanda et al. 2017). Evaluating interventions developed specifically for this population is therefore essential prior to their implementation.

FSWs are disproportionately affected by the HIV epidemic globally (Baral et al. 2012), including in generalized epidemic settings. Current recommendations for HIV testing among FSWs include testing every three months. Although there are limited data on the HIV care continuum for FSW, available estimates suggest that all indicators are far behind the 90-90-90 target (Gupta and Granich 2017; Cowan et al. 2017; Schwartz et al. 2016). Novel technologies, such as HIV self-testing, could help close the gap between current HIV testing coverage among FSWs and the 90 percent coverage target.

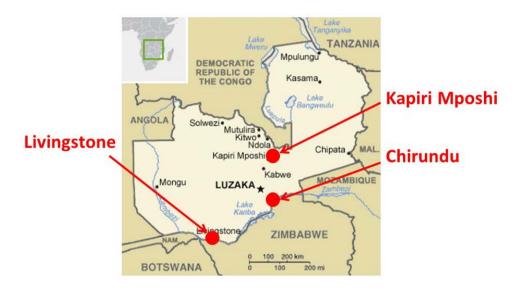
Oral HIV self-testing has been shown to be acceptable in diverse populations globally, and provision of HIV self-tests has been shown to increase HIV testing compared to standard testing services in some populations (Stevens et al. 2017; Jamil et al. 2017; Masters et al. 2016; Johnson et al. 2017). A cohort study among FSWs in Kenya found that 71 percent of participants used an HIV self-test after it was made available to them, but did not include a comparison group for standard testing services (Thirumurthy et al. 2016).

Even though HIV self-testing may reduce some barriers to HIV testing, such as healthcare provider stigma, low access to or uptake of HIV self-testing would limit its ability to improve HIV testing coverage. Here, we test two delivery mechanisms of providing HIV self-testing to urban-based FSWs in Zambia compared to standard HIV testing – *delivery* of HIV self-testing kits (direct distribution of an oral HIV self-testing kit by the peer educator) and *coupon* (a coupon for collection of an oral HIV self-testing kit from a health clinic/pharmacy) – compared to standard-of-care HIV testing. We hypothesized that the active approach of peer-based HIV self-testing kit delivery would perform better in terms of HIV testing and knowledge of HIV status than the more passive coupon approach. We further hypothesized that both types of HIV self-test kit provision would lead to significantly improved recent HIV testing and better knowledge of HIV status, compared to standard testing.

2. Background and context

This study was conducted in three transit towns in Zambia: Livingstone, Chirundu and Kapiri Mposhi (Figure 1).

Figure 1: Study site locations



Chirundu and Livingstone are located on the Zambia–Zimbabwe border and are major transportation points for people and goods. Kapiri Mposhi is north of the capital, Lusaka, and is a transit hub with a large weigh station, where many truckers stop for the night or longer. Study headquarters and coordination for the three sites was located in Lusaka.

Sex work is effectively illegal in Zambia, which can limit access to HIV testing and other preventative services.

2.1 Intervention

In all study arms, participants completed four peer educator intervention visits, consisting of HIV risk reduction counseling, condom distribution and information on where to get HIV testing. Peer educators were current or former sex workers who were recruited by sex work organizations operating in each of the study communities. The first intervention was a group-based intervention; all subsequent intervention visits happened at a time and place that was convenient and private for the participants and the peer educator. The group-based intervention with participants and participants could ask questions. The individual intervention visits were informal check-ins the peer educators conducted with each participant at a place of the participant's choice. A standardized intervention guide was developed for all peer educator intervention visits. Online Appendix A provides an overview of the study intervention and time points.

In the delivery arm, peer educators distributed two HIV self-test kits: one at the first peer educator visit and the second three months after the first peer educator visit. Each test distribution consisted of a single OraQuick ADVANCE® Rapid HIV-1/2 Antibody test (OraSure Technologies, Bethlehem, Pennsylvania) with the manufacturer's instructions

in English, Nyanja, Bemba and Tonga. The HIV self-test is a rapid test that detects antibodies to HIV-1 and HIV-2 in the oral mucosa using an oral swab. The test gives results in 20 minutes, with a single line indicating a negative result and two lines indicating a positive result. The instructions are pictorial along with a written step-by-step guide for using the test and interpreting results. Peer educators were trained on use of the self-test and shared this information with participants. To preserve participants' confidentiality, there was no HIV status requirement for distribution of the second HIV self-test kit.

In the coupon arm, peer educators distributed coupons that participants could use to collect an OraQuick*ADVANCE*® HIV self-test at one of several participating distribution sites, which were health clinics or pharmacies. There was no change in the health facilities with regard to hours of operation or staffing. Staff were briefly trained on study procedures and the use of the self-test. Participants were required to bring the coupon to the distribution site, which was exchanged for a single HIV self-test. The coupon did not include any identifying information related to the study or information that could identify the participant as a sex worker. However, staff members at the distribution sites were aware that the study was specifically for sex workers. As with the delivery arm, peer educators distributed one coupon at the first peer educator visit and the second three months after the first peer educator visit. The content of the test and instructions provided to participants were identical. As with the delivery arm, there was no HIV status requirement for distribution of the second coupon.

In the standard testing arm, peer educators only provided information about existing HIV testing services. Identical information was provided to participants in the delivery and coupon arms.

Peer educators provided information to all participants about where to get a confirmatory test and link to care if they tested positive. Although peer educators were available to answer participants' questions or provide support, participants self-tested for HIV at a time and place of their own choice and were not required to disclose their status to anyone. A 24-hour hotline was made available to participants in all arms. The hotline was developed specifically for the study and staffed by research assistants in shifts, and available 24 hours a day, 7 days a week. Participants were instructed to call the hotline if they needed help with HIV testing (including using the HIV self-test), experienced any adverse events (such as intimate partner violence) and/or needed other assistance.

2.2 Theory of change

The intervention tested in the Zambian Peer Educators for HIV Self-Testing (ZEST) study – and data collection during the study – was guided *a priori* by a theory of change developed through mental models and deductive development (Funnell and Rogers 2011). Mental models involve understanding how key stakeholders believe a program will achieve the desired outcome. We discussed with a variety of stakeholders – including programmatic implementers, researchers and sex workers – their thoughts on how HIV self-testing might work to improve HIV testing coverage in the FSW community in Zambia. Deductive development includes logical analysis of the literature and experiences with the intervention that may inform how it is working. We consulted the relevant literature on HIV self-testing in key populations.

Based on these exercises, we theorized that the distribution of HIV self-test kits via peer educators would lead to improved status knowledge by reducing barriers to HIV testing such as stigma or hours of clinic operation (Figure 2). It is possible that HIV self-testing could address perceived or enacted stigma towards sex work from healthcare providers and from the community by allowing individuals to test in private, without fear of being seen in the clinic and without fear of judgment from providers. This would lead to improved uptake of HIV testing, which would consequently lead to knowledge of status, and ultimately reduce time to linkage to care. However, a community-based intervention such as HIV self-testing could be unsuccessful if individuals are concerned about others discovering their HIV status.

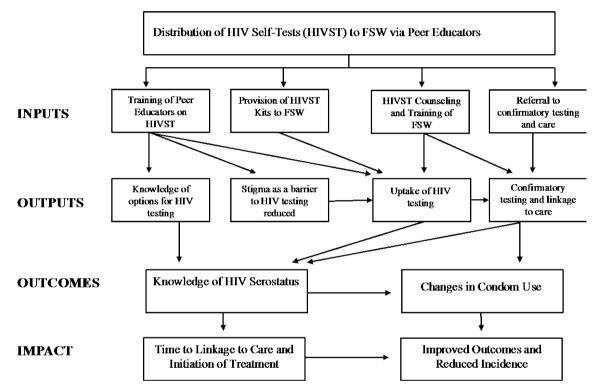


Figure 2: Theory of change

We hypothesized that the direct delivery of an HIV self-test would overcome the majority of barriers to HIV testing faced by FSWs by not requiring them to visit a healthcare provider, thus removing stigma-related barriers to HIV testing. We hypothesized that the coupon delivery arm would increase HIV testing coverage by increasing options for how individuals test for HIV. Increasing options could improve testing coverage, as some individuals who would not feel comfortable testing with a provider may feel comfortable collecting a test kit and testing for HIV on their own.

Several assumptions were required at each level of the causal chain. First, we assumed that all inputs would have the hypothesized effects on the outputs. For example, we assumed that training peer educators would reduce stigma as a barrier to HIV testing, which could occur through a variety of pathways. If the availability of peer educators for HIV self-testing did not influence stigma as a barrier to HIV testing, then it is possible that the intervention would not have the desired effect of improving HIV testing and longer-term impacts, such as reducing time to initiation of antiretroviral therapy (ART) and reduced HIV incidence.

2.3 Timeframe

Enrollment occurred from September to October 2016 and the final follow-up visit was conducted in February 2017.

2.4 Primary and secondary outcomes

The primary outcome was past one-month HIV testing, measured via self-report at the one-month study visit. Secondary outcomes included recent HIV testing at the four-month visit, use of the HIV self-test kit, linkage to care, ART initiation and safety endpoints, including intimate partner violence. Table 1 lists each endpoint and its operationalization.

Table 1: Study endpoints

Endpoint	Operationalization					
Primary effectiveness	endpoint					
Tested for HIV in the past month, one- month time point	 Recent HIV testing, measured by asking participants when they last tested and where (in all arms of the study). 					
Secondary effectivene	ess endpoint					
Tested for HIV in the past month, four- month time point	 Recent HIV testing, measured by asking participants when they last tested and where (in all arms of the study). 					
Use of HIV self-test	 Measured by buying back unused HIV self-tests at four-month visit. At the conclusion of the study, participants were offered a small financial incentive (about US\$1) to return any unused HIV self-test kits, which was framed as a study closing procedure. 					
Linkage to care and ART initiation	 Measured by asking participants who reported a positive HIV test at their most recent test (1) if they had sought care for HIV and (2) if they were currently receiving ART for HIV. 					
Correct knowledge of HIV status	 Participants were asked if they currently knew their HIV status and to take their best guess of their current HIV status (positive or negative). Participants were then offered a rapid HIV test to confirm HIV status. Participants were told they would receive a small financial reward (US\$5) for correctly guessing their status, although all participants received the reward for participating in the exercise. 					
Safety endpoints						
Misuse of HIV self- tests	 Including difficulty conducting the test (i.e. mistakes in taking the test, incorrect use of components of the test) and difficulty reading the test. Identified through interviews and ongoing consultation with peer educators. 					
Intimate partner violence	 Measured through surveillance and interviews by research assistants. Any intimate partner violence (including verbal, physical or sexual) was documented and reported. 					

2.5 Implementation

Research assistant training occurred in July 2016. The planned study start date was in August 2016, but due to national elections, implementation was postponed until September 2016. Recruitment was completed faster than expected, in about 3 weeks. There were no issues with recruitment or enrollment and women were eager to participate in the study. Implementation of the intervention occurred according to the protocol. There were no issues with self-test kit procurement, distribution or supply. No corrective actions occurred during the study, as there were no deviations from the protocol.

3. Data and methods

3.1 Ethics

Ethical approval was obtained from the Institutional Review Boards at the Harvard TH Chan School of Public Health in Boston, Massachusetts, USA, and ERES Converge in Lusaka, Zambia. Written informed consent was obtained from all study participants.

3.2 Data collection

3.2.1 Sample size considerations

Sample size determination was based on the primary endpoint – testing for HIV in the past month at the one-month visit. Power calculations were performed using methods for cluster randomized trials, with the peer educator–participant group as the randomization unit. Based on previous data from FSWs in Livingstone and Chirundu (Family Health International 2005; 2006), we assumed that 50 percent of participants would have tested in the previous month in the standard-of-care arm and assumed 20 percent loss to follow-up. We estimated 50 peer educators per arm (150 total) and 6 participants per peer educator (900 total) would yield 89 percent power to detect a risk ratio of 1.3 for recent testing, assuming a type I error probability of 0.05 and an intraduster correlation of 0.03. During enrollment, 10 additional peer educators were recruited, yielding a total of 160 peer educators and 965 participants.

3.2.2 Recruitment and randomization

Participants were recruited in Livingston, Kapiri Mposhi or Chirundu by peer educators working in their town of residence. Peer educators were current or former FSWs who had been recruited and trained by study staff prior to study initiation; many had formally worked as peer educators for previous FSW projects in their region. Peer educators recruited participants based on their social networks. Peer educators informed potential participants and gave them the contact information for research assistants. Potential participants called study staff for assessment of eligibility and were screened by a research assistant via phone and then, if eligible, were formally screened and enrolled in person. A phone screening was conducted prior to the formal in-person screening and enrollment to improve resource efficiency and decrease the number of individuals screened in person who were ineligible. The target enrollment was six study participants per peer educator.

Peer educator-participant groups were randomized as a unit in a 1:1:1 fashion to one of the three study arms: (1) direct delivery of the HIV self-test from the peer educator to the participant (henceforth, *delivery*), (2) distribution of a coupon from the peer educator to the participant that could be used for collection of an HIV self-test from a fixed distribution point (henceforth, *coupon*), or (3) referral to standard testing (henceforth, *standard-of-care*). In our previously published protocol (Oldenburg et al. 2017), the terms used to describe these three groups were direct (delivery), fixed (coupon) and standard (standard-of-care). Group randomization occurred after each participant in the group had

completed their baseline study assessment. The randomization list was generated in R (version 3.3.1, R Foundation for Statistical Computing, Vienna, Austria) in random blocks of size 3, 6 and 9 and stratified by study site (Kapiri Mposhi, Chirundu or Livingstone). Randomized study assignments for each peer educator were placed in an opaque envelope, which was opened by the peer educator and a study staff member once all participants in the peer educator's group had been enrolled. Because of the nature of the intervention, the study was not masked, however the peer educator's study arm assignment was concealed until all participants in her group had been enrolled.

3.2.3 Sampling

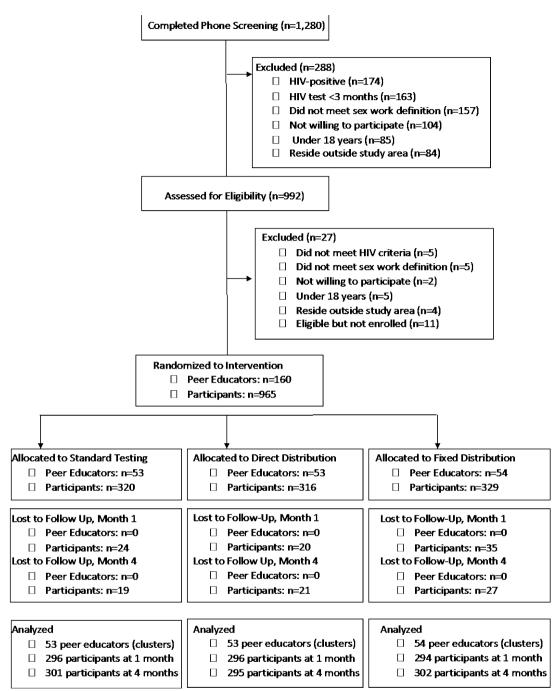
Eligible participants were 18 years of age or older at the time of enrollment, had exchanged sex (vaginal, oral and/or anal) for money or goods at least once in the past month, self-reported an HIV-uninfected status and had not had an HIV test in the previous three months or self-reported that their HIV status was unknown and were permanent residents of their study town of enrollment (Kapiri Mposhi, Chirundu or Livingstone). Table 2 lists the full inclusion and exclusion criteria for the study.

Figure 3 displays the flow of study participants. Of 1,280 women who were screened via phone, 992 completed an in-person eligibility screen and 965 were enrolled and randomized in the study by 160 peer educators. Common reasons for exclusion were self-reporting to be living with HIV (N = 163) and not meeting the sex work definition (N = 157). A total of 160 peer educator and participant groups were randomized to one of the three study arms. Follow-up was 91.8 percent at one month and 93.1 percent at four months. At one month, 92.5 percent in the standard, 93.7 percent in the direct delivery and 89.4 percent in the coupon arms were retained in the study. At four months, 94.1 percent in the standard, 93.4 percent in the direct delivery and 91.8 percent in the standard, 93.4 percent in the study. Differences in retention by arm were not statistically significant.

Inclusion criteria	Exclusion criteria
 18 years or older at enrollment 	 Younger than 18 years at enrollment
 Reports exchanging sex (vaginal, oral and/or anal) for money or goods at least once in the past month 	 Has not exchanged any form of sex in the past month
 Self-reported HIV negative and no recent HIV test (<3 months) or HIV status unknown 	 Self-reported to be living with HIV Self-reported HIV negative but tested within the last 3 months
 Permanent residence in the study town of enrollment (Livingstone, Chirundu, Kapiri Mposhi) 	 Planning to move out of the geographical area within 4 months Living in the PopART catchment area (Livingstone only)
 Willing to participate in peer education sessions and study assessments over 4- month study period 	 Meets inclusion criteria but does not wish to participate
	 Concurrently participating in another HIV prevention study

Table 2: Inclusion and exclusion criteria

Figure 3: Study flow diagram



3.2.4 Data collection methods

Data were collected via face-to-face interviews on a tablet using the cloud-based platform CommCare (Dimagi, Inc., Cambridge, Massachusetts). All interviews were conducted by trained research assistants. Research assistants participated in a one-week training on the study, which included training in confidentiality and building rapport with participants.

To avoid differential participation by study arm, the baseline questionnaire was completed prior to randomization. Two baseline questionnaires were administered, at one and four months after the first peer educator intervention visit. Participants received approximately US\$5 in compensation for their time for participating in each interview.

3.2.5 Quality control

Data were reviewed on a daily basis during each data collection round and data reports were generated that included inconsistencies or other data cleaning issues. The reports were emailed to the study coordinator, based in Lusaka, and each site coordinator. Weekly phone calls were held with study staff members to review the progress.

3.2.6 Challenges

At the one-month time point, there was an interruption in data collection due to an issue with study funds. This caused a several-week delay in follow-up visits, which complicated the measurement of the primary outcome due to its dependence on timing (any testing in the month prior to the one-month visit). A non-pre-specified secondary outcome was therefore conducted assessing testing in the previous three months: participants were asked (1) if they had ever tested for HIV and (2) how long ago their most recent HIV test was conducted. Ensuring and projecting the flow of study funds and prompt communication in the case of a similar issue, should help mitigate issues like this in the future.

3.3 Statistical methods

All analyses were intention to treat. Our pre-specified primary outcome was the proportion of participants reporting testing for HIV in the previous one month, as measured at the one-month visit. Our pre-specified analysis was a mixed-effects, multilevel regression model to account for clustering by peer educator and study site. To estimate risk ratios, we used a mixed-effects generalized linear model with a Poisson distribution, log link and robust error term (Zou 2004), with a fixed effect for randomization arm and study site and a random effect for peer educator group.

Secondary analyses with dichotomous variables – including past one-month testing at the four-month visit, correct knowledge of HIV status, linkage to care and use of ART – were modeled with an identical model. Use of the HIV self-test kit was compared between the two intervention arms (delivery and coupon). This model was identical to that used for the primary outcome, with the exception that the term for study arm contained only two levels (delivery or coupon). A similar model was used for being offered the test kit or coupon and taking the test kit or coupon.

As a sensitivity analysis, we calculated the proportion of participants within each peer educator group reporting each outcome and compared the proportions across study arms using a linear regression model, with a term for study arm and for site. This model avoids the need to model the covariance structure by analyzing at the unit of randomization (the peer educator). Finally, we compared the effect of HIV self-testing either via delivery or coupon versus standard testing by pooling participants in the delivery and coupon arms in a non-pre-specified secondary analysis.

Data collection was interrupted during the one-month visit after approximately 80 percent of participants had completed their assessment and was delayed for about one month. Participants interviewed late, who had tested during the first month of the study, therefore would have responded that their most recent test was more than one month ago. As a non-pre-specified sensitivity analysis, we therefore assessed HIV testing in the previous three months, as measured at the one-month visit. Given that participants were not eligible to participate if they had tested in the three months prior to enrollment, pastthree-month testing captures testing while in this study for all participants.

We assessed heterogeneity in treatment effects by study site (Livingstone, Kapiri Mposhi and Chirundu) and by HIV testing history (ever versus never). Effect modification was assessed by including a treatment arm by effect modifier variable interaction term in a model that was otherwise identical to the primary model.

We assessed differences in loss to follow-up with a mixed-effects, generalized linear model with a Poisson distribution, log link and robust error term, with a fixed effect for randomization arm and study site and a random effect for peer educator group.

The pre-specified primary analysis was a complete-case. All tests were two-sided, with no adjustments for multiple comparisons. All analyses were conducted in Stata 14.1 (StataCorp, College Station, Texas).

3.4 Cost-effectiveness methodology

We calculated the incremental cost-effectiveness of HIV self-testing delivery models using administrative data collected on costs and evidence generated from the trial on the effectiveness of HIV self-test delivery directly or via facility collection. We calculated the incremental cost-effectiveness for the following outcomes: any HIV testing (at one month and at four months) and repeat testing (at four months).

We took the provider perspective of a non-governmental organization (NGO) with an existing FSW peer educator program and accounted for all running costs, including materials and salaries. Materials costs included HIV testing referral cards, coupons and HIV self-tests. The oral HIV self-tests in this study were purchased from OraSure Technologies for approximately US\$5.90 per test (including shipping and tax). We also included costs related to car hire and airtime. We did not include start-up costs related to recruiting and training FSW peer educators in the cost-effectiveness analysis.

4. Results

4.1 Baseline data

Baseline characteristics were balanced between the three groups (Table 3). Approximately half of participants were enrolled in Livingstone, with one quarter each in Kapiri Mposhi and Chirundu.

		Standard-of- care testing (N = 320)	Direct HIV self- test delivery (N = 322)	HIV self-test coupon (N = 323)
Age (median, interquartile range)		25 (22–31)	25 (21–30)	25 (21–30)
Site				
	Livingstone	156 (48.8%)	162 (51.3%)	162 (49.2%)
	Kapiri	87 (27.2%)	76 (24.1%)	82 (24.9%)
	Chirundu	77 (24.1%)	78 (24.7%)	85 (25.8%)
Have a primary par	tner	203 (63.6%)	171 (54.1%)	202 (61.0%)
Can read and write		226 (70.9%)	243 (77.1%)	253 (77.9%)
Education				
	No formal education	53 (16.6%)	30 (9.5%)	25 (7.5%)
	Primary/Junior	129 (40.3%)	152 (48.1%)	169 (51.5%)
	Secondary	131 (40.9%)	128 (40.5%)	130 (39.6%)
	Vocational	6 (1.9%)	6 (1.9%)	1 (0.3%)
	Tertiary	1 (0.3%)	0	3 (0.9%)
Mobile phone owne	ership	271 (84.7%)	265 (83.9%)	284 (86.3%)
Monthly income				
	No income	81 (25.8%)	58 (18.7%)	63 (19.4%)
	Less than 250 kwacha ¹	40 (12.7%)	32 (10.3%)	51 (15.7%)
	251–500 kwacha ¹	75 (23.9%)	86 (27.7%)	74 (22.8%)
	501–1,000 kwacha ¹	74 (23.6%)	82 (26.4%)	90 (27.8%)
	1,001–1,500 kwacha ¹	17 (5.4%)	30 (9.7%)	26 (8.0%)
	More than 1,500 kwacha ¹	27 (8.6%)	23 (7.4%)	20 (6.2%)
Years in sex work (n	nedian, interquartile range)	5 (3–10)	5 (3–10)	5 (3–8)
Inconsistent condo	om use with clients	231 (75.2%)	236 (78.7%)	228 (71.0%)
Timing of last HIV t	est			
	More than 3–6 months	131 (42.3%)	94 (29.8%)	152 (47.1%)
	More than 6–12 months	69 (22.3%)	95 (30.2%)	76 (23.5%)
	More than 12–24 months	18 (5.8%)	26 (8.3%)	26 (8.1%)
	More than 24 months	17 (5.5%)	24 (7.6%)	24 (7.4%)
	Never tested	75 (24.2%)	76 (24.1%)	45 (13.9%)
Intimate partner vio	lence, past 12 months Physical			
	Sexual	165 (51.6%)	150 (50.8%)	168 (51.1%)
	Any	148 (46.4%)	157 (49.7%)	144 (43.8%)
		196 (61.4%)	194 (61.4%)	199 (60.5%)

Table 3: Baseline descriptive characteristics by randomization arm

¹ 1 Kwacha = 0.10 USD (approximately)

4.2 Primary outcome

The results of the primary outcome are listed in Table 4. At one month, 88.5 percent, 94.9 percent and 84.4 percent of participants in the standard, delivery and coupon arms reported testing for HIV in the past month. At four months, 75.1 percent, 84.1 percent and 79.8 percent of participants reported testing for HIV in the past month. Compared to

the standard arm, participants in the delivery arm were 1.07 times as likely to test for HIV (risk ratio [RR] 1.07, 95% confidence interval [CI] 0.99–1.15, P = 0.10) and participants in the coupon arm were 0.95 times as likely to test for HIV (RR 0.95, 95% CI 0.86–1.05, P = 0.29). At 4 months, participants in the delivery arm were 1.11 times as likely to test for HIV (RR 1.11, 95% CI 0.98–1.27, P = 0.11) as those in the standard arm and participants in the coupon arm were 1.06 times as likely to test for HIV (RR 1.06, 95% CI 0.92–1.22, P = 0.42) as those in the standard arm. None of these differences were statistically significant.

In a sensitivity analysis that assessed HIV testing in the past three months at the onemonth visit to account for delayed data collection, participants in the coupon arm were less likely to test for HIV than those in the standard arm (P = 0.01). Compared to the coupon arm, participants were more likely to test for HIV at one month in the delivery arm (RR 1.13, 95% CI 1.04–1.22, P = 0.005), but there was no difference at four months (RR 1.05, 95% CI 0.94–1.18, P = 0.40).

	One I	Month		Four Months			
Standard -of-Care (N = 296)	Delivery (N = 296)	Coupon (N = 294)	P- value	Standard- of-Care (N = 301)	Delivery (N = 295)	Coupon (N = 302)	P- value
262 (88.5%)	280 (94.9%)	248 (84.4%)			248 (84.1%)	241 (79.8%)	0.11 ¹ 0.42 ²
290 (98.0%)	288 (97.6%)	271 (92.2%)			n/a	n/a	
59 (20.5%)	49 (16.7%)	36 (12.4%)	0.24 ¹ 0.04 ²		74 (25.3%)	77 (25.7%)	0.59 ¹ 0.60 ²
44 (74.6%)	25 (51.0%)	19 (52.8%)	0.07 ¹ 0.12 ²	72 (85.7%)	53 (71.6%)	59 (76.6%)	0.13 ¹ 0.17 ²
27 (46.6%)	11 (22.5%)	9 (25.0%)	0.09 ¹ 0.21 ²	54 (64.3%)	35 (48.0%)	44 (57.1%)	0.17 ¹ 0.39 ²
n/a	n/a	n/a	n/a	192 (86.9%)	222 (90.2%)	194 (90.2%)	0.30 ¹ 0.30 ²
n/a	1 (0.3%)	1 (0.3%)		n/a	1 (0.3%)	0	
	-of-Care (N = 296) 262 (88.5%) 290 (98.0%) 59 (20.5%) 44 (74.6%) 27 (46.6%) n/a	Standard -of-Care (N = 296)Delivery (N = 296) 262 (88.5%) 280 (94.9%) 290 (98.0%) 288 (97.6%) 59 (20.5%) 49 (16.7%) 44 (74.6%) 25 (51.0%) 27 (46.6%) 11 (22.5%) n/a n/a	-of-Care (N = 296)(N = 296)(N = 294) 262 (88.5%) 280 (94.9%) 248 (84.4%) 290 (98.0%) 288 (97.6%) 271 (92.2%) 59 (20.5%) 49 (16.7%) 36 (12.4%) 44 (74.6%) 25 (51.0%) 19 (52.8%) 27 (46.6%) 11 9 (22.5%) 27 (46.6%) 11 9 (22.5%) n/a n/a n/a	Standard -of-Care (N = 296)Delivery (N = 294)Coupon value 294)262 (88.5%)280 (94.9%)248 (84.4%) 0.10^1 0.29^2 290 (98.0%)288 (97.6%)271 (92.2%) 0.83^1 0.01^2 59 (16.7%)49 (12.4%) 0.24^1 0.04^2 44 (25 (16.7%)19 (51.0%) 0.01^2 27 (46.6%)11 (22.5%)9 (25.0%) 0.09^1 (25.0%)n/an/an/an/a	Standard -of-Care (N = 296)Delivery (N = 294)Coupon (N = 294)P- value of-Care (N = 301)262 (88.5%)280 (94.9%)248 (84.4%) 0.10^1 0.29^2 226 (75.1%)290 (98.0%)288 (97.6%)271 (92.2%) 0.83^1 0.01^2 n/a59 (20.5%)49 (16.7%) 0.24^1 (28.2%)84 (28.2%)44 (20.5%)25 (16.7%)19 (52.8%) 0.04^2 0.12^2 84 (28.2%)27 (46.6%)11 (22.5%)9 	Standard -of-Care (N = 296)Delivery (N = 294)Coupon valueP- valueStandard- of-Care (N = 301)Delivery (N = 295)262 (88.5%)280 (94.9%)248 (84.4%)0.101 0.292226 (75.1%)248 (84.1%)290 (98.0%)288 (97.6%)271 (92.2%)0.831 0.012n/an/a290 (98.0%)288 (97.6%)271 (92.2%)0.831 0.012n/an/a59 (20.5%)49 (16.7%)36 (12.4%)0.241 0.04284 (28.2%)74 (25.3%)44 (20.5%)25 (16.7%)19 (52.8%)0.071 0.12272 (85.7%)53 (71.6%)27 (46.6%)11 (22.5%)9 (25.0%)0.212 (64.3%)54 (48.0%)n/an/an/a192 (90.2%)222 (86.9%)222 (86.9%)n/a1 (0.3%)11 (0.3%)n/a1 (0.3%)	Standard -of-Care (N = 296)Delivery 294)Coupon valueP- valueStandard- of-Care (N = 301)Delivery 295)Coupon (N = 302)262 (88.5%)280 (94.9%)248 (84.4%) 0.10^1 0.29^2 226 (75.1%)248 (84.1%)241 (79.8%)290 (98.0%)288 (97.6%)271 (92.2%) 0.83^1 0.01^2 n/an/an/a59 (16.7%)49 (12.4%) 0.04^2 0.04^2 (28.2%) (28.2%)(25.3%) (25.3%)25.7%)44 (44 (46.6%)25 (22.5%)19 (25.0%) 0.07^1 0.21^2 72 (85.7%)53 (71.6%)59 (76.6%)27 (46.6%)11 (22.5%)9 (25.0%) 0.09^1 (25.0%)54 (64.3%)35 (48.0%)44 (57.1%)n/an/an/an/a192 (86.9%)222 (90.2%)194 (90.2%)n/a1 (0.3%)1 (0.3%)10 (0.3%)10 (0.3%)10 (0.3%)10 (0.3%)

Note: ¹P-value for direct arm versus standard arm; ²P-value for fixed arm versus standard arm; ³N = 682 due to non-participation in the assessment, measured by asking participant to report HIV status and confirming with a rapid test. **Due to an interruption in data collection during the one-month visit, some visits were conducted more than one month after the first peer educator visit; thus, some participants reported they had not had an HIV test in the past month but had had an HIV test since their peer educator visit. Note that *past one month* HIV testing is the pre-specified primary outcome.

4.3 Secondary outcomes

4.3.1 Linkage to care and antiretroviral therapy initiation

Linkage to care and ART initiation outcomes are listed in Table 4. At one month, among 144 individuals who reported that their most recent HIV test was positive, 74.6 percent in the standard arm, 51.0 percent in the delivery arm and 52.8 percent in the coupon arm reported linking to care; and 46.6 percent in the standard arm, 22.5 percent in the delivery arm and 25 percent in the coupon arm reported initiating ART.

At four months, among 235 women reporting that their most recent HIV test was positive, 85.7 percent in the standard arm, 71.6 percent in the delivery arm and 76.6 percent in the coupon arm reported linking to care; and 64.3 percent in the standard arm, 48.0 percent in the delivery arm and 57.1 percent in the fixed arm reported starting ART. None of these differences were statistically significant.

4.3.2 HIV status knowledge

At four months, there was no difference in HIV status knowledge between arms (Table 5). In the standard arm, 86.9 percent of individuals correctly identified their HIV status, compared to 90.2 percent in the delivery and 90.2 percent in the coupon arms.

4.3.3 HIV self-test use

HIV self-test use outcomes are listed in Table 5. At one month, 98.3 percent of participants reported using the HIV self-test kit in the direct delivery arm, compared to 86.3 percent in the coupon arm (P = 0.001). There was no difference between HIV self-test arms in HIV self-test use at four months (89.8% in the direct delivery arm, compared to 89.3% in the coupon arm).

4.3.4 Hotline use

Forty-three participants (4.9%) called the hotline prior to the one-month visit and 20 participants (2.2%) called the hotline prior to the four-month visit. Common reasons for calling included help with accessing HIV testing (25.6% at one month, 20% at four months), HIV self-test use help (13.9% at one month, 5% at four months) and accessing non-HIV healthcare (37.2% at one month, 10% at four months).

	C	ne Month		Four Months			
	Delivery (N = 289)	Coupon (N = 285)	<i>P</i> - value ¹	Delivery (N = 295)	Coupon (N = 299)	<i>P</i> - value ¹	
Offered coupon/test by peer educator	285 (98.6%)	273 (95.5%)	0.17	284 (96.3%)	293 (98.0%)	0.20	
Took coupon/test from peer educator	285 (98.6%)	272 (95.1%)	0.17	284 (96.3%)	291 (97.3%)	0.52	
Collected test kit ²	285 (100%)	258 (90.2%)	0.003	284 (100%)	280 (93.7%)	0.003	
Used HIV self-test	284 (98.3%)	246 (86.3%)	0.001	265 (89.8%)	266 (89.3%)	0.88	
Used HIV self-test, among those who had the kit	284 (99.7%)	246 (95.7%)	0.01	265 (93.3%)	266 (95.3%)	0.45	
Number of kits used during study	n/a	n/a	n/a			0.75	
C 1 2				0 45 (15.4%) 246 (84.3%)	4 (1.4%) 44 (15.4%) 238 (83.2%)		
Number of tests returned ³ 1 2		n/a	n/a	224 (84.4%) 24 (8.8%) 24 (8.8%)	231 (87.8%) 18 (6.8%) 14 (5.3%)	0.38	

Table 5: HIV self-test kit distribution and use at one and four months by study arm

Note: ¹Multilevel, mixed effects generalized linear model with study arm and site as a fixed effects and peer educator a random effect; ²By default, all participants in the delivery arm collected the kit as it was directly handed to them by the peer educator; ³Measured via incentivized collection at the end of the study.

4.4 Adverse events

Four instances of intimate partner violence related to study participation were reported during the study – two in the delivery arm and two in the coupon arm. Three participants reported physical violence following their partners' learning of their HIV self-test use and one reported physical and sexual violence following the partner's learning about her engagement in sex work. One death was reported in the delivery arm, which was not related to study participation. No other adverse events were reported during the study.

4.5 Effect modification

Subgroup analyses for past-month HIV testing, HIV self-test use and knowledge of HIV status were conducted by study site (Livingstone, Kapiri Mposhi and Chirundu) and history of HIV testing (ever versus never). There was evidence of effect modification by study site at one month for use of the HIV self-test and for past one month HIV testing. At four months, there was effect modification by study site in past one month HIV testing.

Models of effect modification appeared to show evidence of differential effects of the intervention arms in different study settings, although the study was not powered to detect effect modification. In general, effects were larger in Livingstone and Kapiri

Mposhi and there was no effect of the intervention in Chirundu. For example, at four months, the only site where there was a statistically significant effect of the intervention was in the direct delivery arm compared to standard-of-care in Livingstone (P = 0.04). However, this comparison is not statistically significant after correction for multiple comparisons. There was no evidence of effect modification for any outcome by HIV testing history.

	Standard	Direct	Fixed	Risk Ratio (95% Cl)	P- value	P-value for interaction		
Used HIV Self-Test								
Site								
Livingstone	n/a	146 (98.0%)	121 (85.2%)	1.15 (1.03–1.29)	0.02			
Kapiri Mposhi	n/a	65 (94.2%)	50 (72.5%)	1.30 (1.00–1.69)	0.05	0.001		
Chirundu	n/a	73 (100%)	75 (100%)	n/a	n/a			
HIV testing								
Ever	n/a	219 (99.1%)	213 (87.3%)	1.13 (1.06–1.21)	<0.001	0.70		
Never	n/a	64 (92.8%)	32 (78.1%)	1.19 (0.90–1.58)	0.21			
		Teste	ed in Past	One Month				
Site								
Livingstone	133 (93.7%)	142 (94.0%)	125 (85.6%)	D: 1.00 (0.92–1.10) F: 0.91 (0.81–1.03)	0.93 0.13			
Kapiri	55 (69.6%)	65	46	D: 1.31 (0.99–1.75)	0.06	<0.001		
Mposhi		(91.6%)	(64.8%)	F: 0.93 (0.62–1.39)	0.72			
Chirundu	74 (98.7%)	73 (100%)	77	D: 1.01 (0.99–1.04)	0.30			
			(100%)	F: 1.01 (0.99–1.04)	0.30			
HIV testing								
Ever	190 (88.0%)	212	216	D: 1.07 (0.99–1.17)	0.11	0.40		
		(95.9%)	(86.4%)	F: 0.97 (0.88–1.07)	0.54			
Never	69 (92.0%)	67	21	D: 1.04 (0.92–1.17)	0.56			
		(91.8%)	(72.1%)	F: 0.81 (0.61–1.07)	0.14	<u> </u>		

Table 6: Intervention efficacy by subgroup of participants, one month

Used HIV Self-Test								
	Standard	Direct	Fixed	Risk Ratio (95% CI)	P- value	P-value for interaction		
Site								
Livingstone	n/a	142	134	1.05 (0.95 to 1.16)	0.31			
		(93.4%)	(88.7%)					
Kapiri	n/a	57	62	0.98 (0.80 to 1.18)	0.80	0.62		
		(86.4%)	(88.6%)					
Chirundu	n/a	66	70	0.94 (0.80 to 1.11)	0.49			
		(85.7%)	(90.9%)					
HIV Testing								
Ever	n/a	200	229	1.02 (0.85 to 1.23)	0.82	0.84		
		(90.5%)	(90.2%)					
Never	n/a	64	37	1.00 (0.93 to 1.09)	0.95			
		(87.7%)	(86.1%)					
	Tested	in Past On	e Month	•	T			
Site								
Livingstone	116	142	132	D: 1.15 (1.01 to 1.32)	0.04			
	(81.1%)	(93.4%)	(87.4%)	F: 1.08 (0.93 to 1.26)	0.34			
Kapiri	58	52	56	D: 1.14 (0.90 to 1.45)	0.29	0.0004		
	(69.1%)	(78.8%)	(76.7%)	F: 1.11 (0.86 to 1.46)	0.42	0.0001		
Chirundu	52	54	53	D: 1.00 (0.66 to 1.52)	0.99			
	(70.3%)	(70.1%)	(68.0%)	F: 0.97 (0.63 to 1.47)	0.88			
HIV testing								
Ever	161	186	205	D: 1.19 (1.01 to 1.40)	0.04	0.12		
	(70.9%)	(84.2%)	(80.1%)	F: 1.13 (0.96 to 1.34)	0.14	0.12		
Never	60	61	36	D: 0.94 (0.84 to 1.08)	0.41			
	(87.0%)	(83.6%)	(80.0%)	F: 0.89 (0.75 to 1.06)	0.20			
	Cor	rect HIV St	atus	T	r			
Site								
Livingstone	111	135	113	D: 1.07 (0.99 to 1.15)	0.11			
	(87.4%)	(93.1%)	(91.1%)	F: 1.04 (0.96 to 1.14)	0.35			
Kapiri	31	25	28	D: 0.78 (0.64 to 0.96)	0.02	0.18		
	(91.2%)	(71.4%)	(90.3%)	F: 0.99 (0.88 to 1.12)	0.88			
Chirundu	50	62	53	D: 1.12 (0.96 to 1.32)	0.14			
	(83.3%)	(93.9%)	(88.3%)	F: 1.06 (0.90 to 1.25)	0.49			
HIV testing	4.15	100	1.6-					
Ever	142	168	165	D: 1.03 (0.90 to 1.18)	0.66	0.56		
	(87.1%)	(90.3%)	(91.7%)	F: 0.94 (0.79 to 1.12)	0.51			
Never	49	53	28	D: 1.03 (0.97 to 1.11)	0.34			
	(87.5%)	(89.8%)	(82.4%)	F: 1.05 (0.98 to 1.12)	0.15			

Table 7: Intervention efficacy by subgroup of participants, four months

4.6 Qualitative results

Individual in-depth interviews and focus groups were conducted with study participants and peer educators, respectively, to help provide context for quantitative findings of the primary outcomes. During in-depth interviews and focus group discussions, barriers to HIV testing were related to stigma associated with going to HIV testing facilities and selfstigma related to HIV.

Barriers to HIV testing:

I get afraid because am scared to be tested for HIV because I don't know if am being HIV negative or HIV positive. Because I have slept with a lot of man. — Individual interview, 24-year-old participant

Others do have self-stigma, because she knows that she's a sex worker she says I can't go there; when I go there I will just test positive. — Focus group discussion, peer educator

Others also fail to accept the results. Somebody decides to go and test, but she is not sure or ready. It makes them to start imagining what could happen when tested positive. Before you have an HIV test, you need to be ready to accept the result. — Focus group discussion, peer educator

It is difficult to test because some think if I get tested this same person testing me, will tell others 'that's the one I tested, she is sick [with] HIV'. — Focus group discussion, peer educator

Facilitators of HIV testing included pregnancy and knowing that they had increased risk related to HIV acquisition. Participants also discussed wanting to protect their male partners as a motivation for HIV testing.

Facilitators of HIV testing:

We protect the men we have sex with not to contract HIV; that's why we often go for HIV testing. — Individual interview, 19-year-old participant

In my view, it is not common among sex workers to test for HIV. Because, just as my sister mention earlier, that sex workers know the kind of work they are involved into, it's not common for them to test. Unless she is pregnant or she gets sick and goes to the hospital, they will be able to test her for HIV, and then she will know her HIV status. — Focus group discussion, peer educator

She's a sex worker, she knows the kind of job she's doing. It's important to go for a test so that each person knows their status. — Focus group discussion, peer educator

HIV self-testing was described by peer educators and participants alike as a means of reducing stigma associated with visiting the clinic for HIV testing. This provided substantial motivation for participants to be interested in HIV self-testing.

Motivations for HIV self-testing:

Yes, the main reason is as I explained. Most of them are shy of going to the clinic for testing; therefore, this method of testing yourself is much better, where you test yourself and you know the results for yourself. — Individual interview, 20-year-old participant

I would know my HIV status by myself and I can even go to the hospital for medications. — Individual interview, 19-year-old participant

Interviewer: "Why is it good when testing by yourself?"

Participant: "It is good because of the fact that it's different from the ordinary testing."

Interviewer: "What else do you think about HIV/AIDS self-testing?"

Participant: "HIV self-testing is nice, like I said earlier, because no one will see you."

- Individual interview, 31-year-old participant

Interviewer: "How do you think HIV self-testing will be received by other sex workers in Zambia?"

Respondent: "Yes, it would be received well, because sex workers are afraid of going for VCT [voluntary counseling and testing], so they test themselves at their own time, then its better. And then, if there is need to go to confirm at the clinic, then they go confirm."

- Individual interview, 29-year-old participant

Participant 1: "I also think they can be interested because they will be the only one to know their HIV status, whether positive or negative. Therefore, even when it comes to taking good care of herself, she will know best how and what to do when she gets sick or she is well."

Participant 2: "Just to add on what my sister has said, when a person tests herself and finds out that she is HIV positive, she can be forced to find out where people go when they test positive so as to know how best they can further help you. So, she may be helped with a referral note to take with to the clinic and thereafter, she can be going from time to time get medicine as known only to herself. So, it can be easy."

-Focus group discussion, peer educator

Some peer educators reported concerns related to counseling following HIV self-testing, although these themes did not emerge in individual interviews.

Once you test yourself and find out that the result is positive, who will counsel you? It's likely that you will have a lot of worries. What I'm thinking is that it could be better [if] somebody conducts a test on you and offers you counsel. It is better that way. Because at times, you may test and discover you are HIV positive, and because you are alone in the room, you may begin to entertain suicidal thoughts and before you know it, you get poisonous stuff and take your life, because there is nobody to counsel you. — Focus group discussion, peer educator

4.7 Cost-effectiveness

The cost of HIV self-testing interventions and standard-of-care arms at four months are shown in Table 8. The cost per participant in the standard-of-care arm was US\$40.39. In the delivery arm, the cost per participant was US\$53.70, and in the coupon arm, the cost per participant was \$52.83.

In a pseudo-population of 1,000 FSWs, 64 additional FSWs tested for HIV with direct provision of the HIV self-test and 41 fewer tested with facility provision at one month. At four months, 90 additional FSWs tested for HIV in the delivery arm and 47 additional

FSWs tested in the coupon arm. In the delivery arm, 294 additional FSWs tested for HIV twice and 138 tested twice in the coupon arm.

	Delivery	Coupon	Standard					
Number tested in population of 1,000								
HIV testing, any (one month)	949	844	885					
HIV testing, any (four months)	841	798	751					
HIV tested twice	870	714	576					
Item	ized running cost	s, US\$						
Self-test kits	4,044.80	4,211.2	0					
Airtime	53	53	53					
Peer educator costs	12,759.75	13,000.50	12,759.75					
Hotline	98.24	102.28	99.48					
Cumulative costs, US\$	16,967.90	17,379.59	12,924.50					
Total	53.70	52.83	40.39					
Cost per participant								
Cost for population of 1,000	53,700	52,830	40,390					
Increme	ntal cost-effective	ness, US\$						
HIV testing, any (one month)	US\$208	(US\$303)	Reference group					
HIV testing, any (four months)	US\$148	US\$265	Reference group					
HIV tested twice	US\$45	US\$90	Reference group					

Table 8: Incremental cost-effectiveness of HIV self-testing at four months

5. Discussion

Provision of HIV self-tests directly to participants via peer educators and via existing health facilities led to high uptake of HIV self-testing, although there was no difference in HIV testing across study arms. Overall, HIV testing was very common in this study. Although one in five participants reported at baseline that they had never tested for HIV, by four months all but one participant had tested for HIV in some form during the study. HIV self-testing was highly accessed by individuals in the intervention arms, indicating that although its provision may not lead to greater rates of HIV testing, it is acceptable and accessible to participants.

Data from pre-study focus groups with peer educators found that multilevel stigma was an important barrier to HIV testing among FSWs in these areas in Zambia (Chanda et al. 2017). One reason for the lack of difference across study arms could be the availability of the peer educators in all intervention arms. Previous studies of peer educator interventions for FSWs have generally shown that peer educators can reduce barriers to accessing healthcare (Krishnamurthy et al. 2016; Onyango et al. 2016; Hoffman et al. 2013; Geibel et al. 2012; Morisky et al. 2010; Sarafian 2012). Having access to the peer educators may have allowed participants to have greater agency in seeking out any form of HIV testing (self-testing or traditional) by reducing some barriers to testing. Intimate partner violence at baseline was very high. During the study, there were three instances of intimate partner violence related to HIV self-testing. Intimate partner violence is a major concern with HIV self-testing, particularly among vulnerable populations such as FSWs. The results of this study indicate that HIV self-testing is safe, although implementation programs should be aware of the potential for intimate partner violence following HIV self-testing.

Overall, linkage to care and ART initiation were lower in the HIV self-testing arms, but this difference was not statistically significant. The study was not specifically powered to detect a difference in linkage to care and ART initiation, and thus there may be true differences in linkage to care and ART initiation that could have significant implications for treatment as prevention strategies. However, linkage to care and ART initiation coverage in the standard testing arm approached previously described estimates of ART coverage among FSWs in Zimbabwe (Cowan et al. 2017) and exceeded a previous global estimate of 36 percent among FSWs in low- and middle-income countries (Mountain et al. 2014).

In the HIV self-testing arms, the rapid increase in linkage to care and ART initiation, which approached the standard-of-care arm and exceeded previous global estimates, mitigates some concern related to linkage to care following HIV self-testing (Bain et al. 2016). Access to a peer educator may have facilitated the high and rapidly increasing percentage of participants who reported linking to care and ART initiation.

Costing results indicate that it would cost approximately US\$45 and US\$90 for a repeat test for one additional person in the delivery and coupon arm, respectively. These results take the perspective of an NGO with an existing peer educator program, and thus do not represent the costs of programs that do not have established peer educator programs. These cost results therefore may not be generalizable to NGOs not already working with peer educators; costs could be higher in such programs.

There are several limitations to consider when interpreting these results. The majority of outcomes in this study were self-reported, including HIV testing, linkage to care, and ART initiation. It is possible that participants' responses were influenced by social desirability bias. It is also possible that participants built rapport with research assistants, which could have changed social desirability bias over time. If participants were influenced by social desirability bias, this would likely have resulted in an overestimate of reported outcomes.

This study was conducted among FSWs in three transit hubs in Zambia, in a population that has had relatively little prior involvement in HIV research. Compared to some settings, there are relatively few FSW-specific services available for participants in this region. This may, in part, explain the high uptake of any HIV testing: exposure to the peer educators was novel, and thus participants in all arms may have been encouraged to test for HIV. However, the results of this study may not be generalizable to FSWs working in different contexts; for example, in capital cities, where services are generally more widely available.

Overall, the results of this study indicate that HIV self-testing is acceptable, accessible, and safe for FSWs in Zambia. Direct provision of an HIV self-test kit yielded the highest coverage of use and testing at one month, but this difference was gone by four months, indicating that over time a delivery model that uses traditional facilities could be effective for implementation of HIV self-testing in this population. This model may also be the most practical, given that it uses the existing health system.

6. Specific findings for policy and practice

HIV self-testing was accessible and highly used by participants, but it did not increase HIV testing relative to referral to standard HIV testing services. Although linkage to care and ART initiation were lower in the HIV self-testing arms than the standard-of-care arm, linkage and ART initiation both increased over time. Individuals in the coupon arm were less likely to test for HIV at one month than those in the direct delivery arm, but this difference was gone by four months, indicating that there could be some short-term barriers to HIV self-testing that reduce over time. This indicates that the delivery model may matter in the short term, but once individuals have more time to adjust to the new technology, delivery of HIV self-testing via existing health-systems infrastructure could be sufficient for implementation.

Key findings at each level include the following:

- National: As the government of Zambia considers HIV self-testing policy, our results indicate that HIV self-testing is accessible, acceptable, and safe for FSWs in several contexts in Zambia. Only three instances of intimate partner violence related to HIV self-testing were reported, although background levels of intimate partner violence were very high. Although attention should be paid to the possibility of intimate partner violence, in general HIV self-testing does not appear to increase intimate partner violence.
- Local: From a supply chain and delivery perspective, although direct delivery of the HIV self-test removed many barriers from using the test and resulted in greater use in the short term, provision of the HIV self-test via existing health facilities led to high uptake of the self-test kit over time. Given the complexity of direct delivery of HIV self-testing at scale, working with local health systems that are already in place will probably be sufficient for delivery of HIV self-testing.
- **Project:** Women were highly interested in participating in this study. There were no issues with enrollment and loss to follow-up was minimal. Although we anticipated that loss to follow-up would be a significant issue, more than 90 percent of participants were retained in the study at four months. There were relatively few barriers to implementing the peer educator intervention and women were eager to work as peer educators. However, scalability should be considered, as there are costs involved in recruiting, training, and retaining peer educators.

Online appendixes

Note to the readers: These appendixes are available online only. Please note that these have not been copy-edited or formatted.

Online Appendix A: Overview of study procedures

http://3ieimpact.org/sites/default/files/2019-01/tw2215-zambia-hivst-zest-

appendix-a.pdf

Online Appendix B: Baseline questionnaire

http://3ieimpact.org/sites/default/files/2019-01/tw2215-zambia-hivst-zest-

appendix-b.pdf

Online Appendix C: One-month questionnaire

http://3ieimpact.org/sites/default/files/2019-01/tw2215-zambia-hivst-zest-appendix-c.pdf

Online Appendix D: Four-month questionnaire

http://3ieimpact.org/sites/default/files/2019-01/tw2215-zambia-hivst-zest-appendix-d.pdf

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HIV testing is the critical first step for realization of the 90-90-90 targets of having 90 per cent of people living with HIV aware of their status, 90 per cent of those linked to care, and 90 per cent of them virally suppressed. HIV testing among female sex workers in Sub-Saharan Africa remains below that target. HIV self-testing may be a strategy to increase testing among this population.

The evaluation assessed whether HIV self-tests increased HIV testing among female sex workers in transit towns. Peer educators were very successful at encouraging female sex workers to test. Nearly 90 per cent of the control group, who were referred to standard facility-based testing, received a test. HIV self-tests did not make a difference overall to HIV testing rates.

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