**Qualitative study on sustainability of SHGs under the National Rural Livelihoods Mission**

**3ie proposal form for a qualitative research grant**

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| **1. GRANT HOLDING ORGANISATION** | | | | |
| **1a. Basic details of grant holding organisation** | | | | |
| Legal name | Click here to enter text. | | | |
| Type of organisation | Choose an item. | If other, please specify: | Click here to enter text. |
| Division or department | Click here to enter text. | | | |
| Country of legal registration | Click here to enter text. | | | |
| Website | Click here to enter text. | | | |
| Organisation’s legal status | Choose an item. | If other, please specify: | Click here to enter text. |
| **Verification** | | | | |
| We verify that the organisation is legally eligible to receive funds from 3ie US. | ☐Yes | | | |
| **1b. Conflict of interest declaration** | | | | |
| Are you aware of any interests arising from research, financial, or personal reasons of any member of the research team or grant holding organisation that might bias the involvement in the study? | ☐Yes | | | |
| If **yes**, please list these below  *(****Maximum:*** *200 words)* | | | |
| Click here to enter text. | | | |
| **Verification** | | | | |
| We certify that we have reviewed 3ie’s grant agreement documents available on the 3ie website and confirm that the grant-holding organisation agrees to the terms of the documents. | ☐Yes | | | |

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| **2. RESEARCH QUESTIONS** |
| **Key questions** |
| The key questions that the study should answer are:  1. What factors are responsible for failure of SHGs to sustain? 2. At the institutional level, what role do SHG federations play in determining SHG quality, functioning and sustainability?  Apart from these main questions, specify any additional questions that the qualitative study may address. ***(Maximum***:*300 words)* |
| Click here to enter text. |
| **3. THEORY AND ASSUMPTIONS** |
| **3a. Proposed theory and hypothesis of the study** |
| Please describe the competing theories that can explain (i) why SHGs become defunct; and (ii) the role of SHG federations in determining the SHG quality, functioning and sustainability. When presenting the competing theories, the proposals must include reference to existing literature or evidence to support these theories, if available. The proposals will show an in-depth understanding of the political, economic and social norms that drive, reinforce and reproduce barriers to SHG participation or SHG sustainability.  ***(Maximum***: 900 words) |
| Click here to enter text. |
| **3b. Evaluation methods for priority questions** |
| 1. Please describe the proposed evaluation methods and tools that you will use to answer each of the key questions listed in Question 2, including issues of sampling, biases, triangulation and quality assurance. 2. Explain how these methods and tools will be used to answer the key questions. Why are these appropriate to answer the key questions? Where relevant, include a discussion on how this will enable an analysis by caste and/or ethnic groups. 3. Please include a clear explanation of all indicators that will be reported. 4. Please describe your data sources. If you plan to use any supplementary data sources, please mention these here. Please refer to the section on characteristics of a successful proposal for an indicative description of methodology that may be considered.   ***(Maximum***: *800 words)* |
| Click or tap here to enter text. |
| **4. ACTIVITIES** |
| Please describe the key activities that will be undertaken in order to carry out the study in chronological order. This section should also describe the coordination necessary with the implementing agency and should closely align with the timeline described in the request for proposals.  ***(Maximum****: 500 words)* |
| Click or tap here to enter text. |
| **5. ETHICAL ISSUES** |
| Please explain any ethical issues related to this qualitative study, including issues that may arise during study implementation. How will these be addressed by the study team? (*Where relevant, these may relate to ethical risks)*  ***(Maximum****: 500 words)* |
| Click or tap here to enter text. |

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| **6. DELIVERABLES AND BUDGET** | | | | |
| **6a. Work plan and deliverables** | | | | |
| 3ie grant agreements include a deliverables schedule that combine regular reporting requirements with technical outputs for the deliverables, against which tranches of the grant are paid.  There will be three tranche payments. The first payment will be at grant signing. The second tranche payment must be supported by (1) one or more evaluation deliverables; and (2) the funds utilisation report. The final tranche payment must be supported by (1) the final qualitative report, (2) a progress report that includes study and engagement related activities and a fund utilisation report.  Applicants must propose the specific technical deliverables for the desired tranche payments. *(In the grant agreement, all due dates will be stated as the number of months from the grant effective date based on the information provided here)*. Select zero per cent for the unnecessary rows. Tranche percentages must add up to a total 100 per cent. 3ie mandates that the first tranche payment cannot be more than 30 per cent of the total grant and the last tranche at least 10 per cent. | | | | |
| Click or tap here to enter text. | | | | |
| **6b. Summary of resources required for project** | | | | |
| Please download the Excel© budget template from the website. Complete the budget according to the budget guidelines provided on the website, while paying careful attention to the 3ie direct and indirect cost policies on the 3ie website.  *Please submit the budget and budget narrative document using the guidelines available on the website and in the RFP. Enter the amounts from the financial summary worksheet in the table here.* | | | | |
| **Fund heading** | **3ie contribution** | **Other contributions** | **Total** | **Total (%)** |
| **Personnel** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Consultancy** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Travel** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Survey cost** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Office expenses** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Equipment** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Sub-grantee direct cost** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Grantee indirect cost** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Sub-grantee indirect cost** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Total** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |

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| **7. PRINCIPAL INVESTIGATOR/S AND STAFF** | | | | | | |
| **7a. Contact information** | | | | | | |
| Communication regarding this application, and if awarded the grant, will be sent to the contact person and the authorised contract signatory. Please provide postal and email addresses for both these individuals, in addition to the information requested below. To fill in postal addresses, please click on the ’Address’ next to the added record. The applicant may also designate one additional contact person to be copied on email communication only. | | | | | | |
| **Name\*** | **Job title\*** | **Role\*** | **Email address\*** | **Postal address\*** | **Contact phone number\*** | **Skype ID, if available\*** |
| Click here to enter text. | Click here to enter text. | Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
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| **7b. Staff duties** | | | | | | | | | |
| Please present the names, roles and responsibilities of each post, including for all key personnel for the implementation and evaluation of the study in the table below. If the individual’s name is not yet known, please indicate the position, such as ‘research assistant’. All principal investigators (PI) and principal implementers named in the application will be named as PIs in the grant agreement, and changes will be subject to requests for Letters of Variation.  Curriculum vitae (CV) for each of the named PIs and principal implementers, including the lead PI named in the contact information section below, must be included in the proposal submission. Each CV should not exceed three pages and should highlight the following information:   * Research experience and up to three publications directly related to scope of this RFP, including qualitative studies, ideally with a focus on the geographic and sectoral context in which the proposed research will take place. * Information on whether PIs have previous experience of successfully communicating findings from their research and facilitating evidence uptake. * A history of collaboration with PIs from low- and middle-income countries (L&MIC), researchers, and research or academic organisations should be highlighted.   To be acknowledged as an Indian researcher or implementer, all three of the following conditions need to be fulfilled:   * The team member must be an Indian national * The team member must be physically located in India * The team member must to be affiliated to an organisation that is registered in India   **Please note that 3ie requires that there be at least one Indian researcher in the evaluation team and they need to be involved in a substantial capacity. Please pay special attention to describing the duties of this team member.** *Please add additional rows if necessary.* | | | | | | | | | |
| **Role** | **Job title** | **Name** | **Email** | **Primary organisational affiliation** | **Number of days devoted to working on the project** | **Duties of the person** | **Contact details, including phone number and/or Skype ID** | **Country of the primary organisation** | **Is it an L&MIC?** |
| Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | ☐Yes  ☐No |
| Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | ☐Yes  ☐No |
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| **7c. Additional documents to include** |
| **CVs**  CVs of all listed team members with a focus on work that is related to livelihoods, self-help groups and women’s empowerment in similar contexts as the study |
| **Past publications**  Copies of up to three evaluation reports or publications relevant to this call, with the proposed PIs as named authors. |
| **Budget:**  Document with the budget narrative; detailed budget in an Excel© worksheet using the 3ie budget template. |
| **Other documents (please refer to RFP for the full list of documents to be submitted with your proposal)** |