**Implementation proposal form**

**THIS IS A SAMPLE TEMPLATE FOR YOUR REFERENCE ONLY. DO NOT APPLY USING THIS FORM. APPLY ONLINE THROUGH OUR PORTAL, WHICH WILL BE LIVE ON 23 MARCH.**

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| **BASIC DETAILS** | |  |
| **Number of the grant submission (to be filled by 3ie)** | | |
| Click here to enter text. | | |
| **Title of proposed implementation**  (*Please include intervention type, country and possible method in the title*) | | |
| Click here to enter text. | | |
| **Proposed duration of grant in number of months** | | |
| Proposed start date (*MM/YYYY*) | Click here to enter text. | |
| Proposed end date (*MM/YYYY*) | Click here to enter text. | |
| Proposed duration (*months*) | Click here to enter text. | |

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| **ORGANISATIONAL INFORMATION** | |  |
| **Implementing agency and programme/intervention** | | |
| Name of implementing agency(ies) for programme/ intervention | Click here to enter text. | |
| Type of organisation | Choose an item. | |
| Name of programme or intervention, if available | Click here to enter text. | |
| Web address of implementing agency and/or programme, if available | Click here to enter text. | |

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| **Proposed grant-holding organization** | | | | |
| Legal name | Click here to enter text. | | | |
| Type of organisation | Choose an item. | If other,  please specify: | | Click here to enter text. |
| Division or department | Click here to enter text. | | | |
| Country of registration | Click here to enter text. | | | |
| Website | Click here to enter text. | | | |
| Organisation’s legal status | Choose an item. | If other, please specify: | Click here to enter text. | |
| **Verification** | | | | |
| We verify that the organisation is legally eligible to receive grants from organisations in other countries and from 3ie. | ☐Yes | | | |

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| **Conflict of Interest declaration** | |
| Are you aware of any interests arising from research, financial, or personal reasons that might lead to biases in your work? | ☐Yes |
| If **yes**, please list these below, ***maximum*:** 200 words |
| Click here to enter text. |

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| **Verification** | |
| “We certify that we have reviewed 3ie’s grant agreement and other policy documents available on the [3ie website](https://www.3ieimpact.org/funding/call-proposals-swashakt-empowering-women-through-collectives-and-group-based-approaches) and confirm that the grant-holding organisation agrees to the terms of the documents.” | ☐Yes |

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| **APPROVALS** | |  |
| **Approval** | | |
| It is the responsibility of the applicant organisation to obtain all necessary approvals before the start of the study,. *Awarded grantees must send copies of each of the required approvals below, prior to grant signing, to 3ie.* | | |
| Is ethical approval required by the grant-holding organisation to undertake this evaluation? | ☐Yes  ☐No | |
| Is ethical approval required by any other organisation, such as the affiliations of members of the research team, to undertake this evaluation? | ☐Yes  ☐No | |
| If yes, which organisations? | Click here to enter text. | |

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| **PLAIN LANGUAGE SUMMARY** |  |
| If you are awarded a grant from 3ie, the details from this summary will become the basis of the website posting about your study. In a short, crisp paragraph please write down what your implementation proposal is about. This should include information on (i) the type of program or intervention (ii) the type of women’s collectives for the intervention and (iii) geographical region of work.  Ex: Farmer producer organizations, social enterprises, SHG models, group-based businesses  ***Maximum***: 500 words | |
| Click here to enter text. | |

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| **INTERVENTION** |  |
| **Intervention** |  |
| In this section, please provide us the details of the intervention,  While doing this, please:   1. describe the intervention /programme. Please indicate whether the intervention/programme/policy has already been implemented at scale or if it is in piloting/testing stages 2. include details about the local context, including the social, cultural, economic, political drivers and legal structures that are relevant to the intervention /programme/policy. 3. briefly indicate the key outcomes of interest that the intervention/ programme aims to achieve.   (e) include a description of the women involved in the collective (for example, 10% belong to SC/ST communities)  (f) include a description of the organizational structure of the collectives  (g) present activities being undertaken by the collectives (for example, financial inclusion, savings, trainings). If the collective is engaged in productive activities, please provide us with some idea of revenues and profits.  ***Maximum***: 1200 words | |
| Click here to enter text. | |
| Is the intervention/ program you propose in collaboration with (a) government departments (if yes, please name department and state) (b) private partners (if yes, please name them (c) not applicable  ***Maximum***: 200 words | |
| Click here to enter text. | |
| **Innovation** |  |
| What is the innovative intervention or program that you are proposing? Please argue why this is innovative citing from existing evaluations, reviews or relevant experience in this sector from India or other countries. If any proof of concept or evaluation literature exists for the intervention, please include in this section. What is the impact potential of the program/intervention?  ***Maximum***: 500 words | |
| Click here to enter text. | |
| **Theory of change** |  |
| Please describe the theory of change underlying the intervention /programme. Please attach a schematic diagram as well if available. The theory of change presents the hypothesised causal pathway linking the inputs and activities of the intervention to its intended outputs and outcomes in the short- and long-term. It also explicitly states the assumptions that inform each link in the pathway. Please be clear about intervention components as well as the assumptions. This section must clearly show the link between inputs, output and outcomes. Please indicate intended and unintended outcomes from the intervention /programme/policy.  You may want to refer to [3ie’s guidance on using theory for impact evaluations](https://www.3ieimpact.org/sites/default/files/2017-11/Working_Paper_3.pdf) while completing this section.  ***Maximum***: 1000 words | |
| Click here to enter text. | |

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| **QUESTIONS AND DESIGN** | |
| **Priority research and evaluation questions** |  |
| Please list the priority question(s) that you expect an evaluation of your program to answer Please list the primary and secondary outcomes and impact variables that you would assess or would like for them to be assessed.  ***Maximum***: 300 words | |
| Click here to enter text. | |

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| **Implementation** |  |
| Please provide a justification for the feasibility of implementing the program. Please include a description of the resources and personnel you are proposing for the program and how they meet the requirement to roll out the program. What partnerships do you think are crucial for this? How do you propose to cultivate these?  ***Maximum***: 300 words | |
|  | |
| What are the key activities that you propose to roll out during the funding period? What is the proposed timeline of these activities? How do you relate these activities to the outcomes the program aims to achieve? If you have a results framework or log frame, please insert and extrapolate.  ***Maximum***: 500 words | |
| Click here to enter text. | |
| As part of funding, 3ie will require all funded programs to report on 5-6 key performance indicators. If your organization has an existing monitoring mechanism in place, please detail. Do you agree to collecting and sharing this with us?  ***Maximum***: 300 words | |
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| **Costing and Sustainability** |  |
| If available, please indicate the total budget of the intervention/programme/policy.  Please describe your plans for collecting costs and approach(es) for cost related indicators of the intervention/programme/policy that will be examined during the course of the implementation (including as relevant, approaches for cost-benefit or cost-effectiveness analysis).  ***Maximum***: 500 words | |
| Click here to enter text. | |
| What are the aspects of sustainability that are to be considered for this project? What potential risks could threaten the implementation of the intervention? How do you propose to mitigate such risks?  ***Maximum***: 400 words | |
| Click here to enter text. | |

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| **Ethical issues** |  |
| Please explain any ethical issues related to the proposed intervention and/or implementation. How will these be addressed by the study team? (Where relevant, these may relate to ethical risks related to selection, ethical risks due to the identification strategy, direct risks to respondents from the intervention starting or ending, and risks related to data confidentiality.)  ***Maximum***: 500 words | |
| Click here to enter text. | |

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| **POLICY PROCESS, ALIGNMENT, AND INFLUENCE** | |
| **Influence on policy, programming and practice** |  |
| Describe the policy relevance of the program or intervention you are implementing. How do you think this program is relevant for the National Rural Livelihood Mission (NRLM)? How can lessons generated from program implementation inform NRLM? Are there other organizations that you think will benefit from learnings of this program?  ***Maximum***: 500 words    **Note**:  If this proposal is accepted, 3ie grantees are asked to develop a stakeholder engagement and evidence uptake plan. An indicative template can be found [here](http://www.3ieimpact.org/sites/default/files/2018-12/3ie_SEEP%20template.pdf). | |
| Click here to enter text. | |

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| **BUDGET** |  |

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| **Summary of resources required for project** | | |  | |
| Please download the Excel© budget template from the ‘Uploads tab’. Complete the budget according to the budget guidelines provided in the document, while paying careful attention to the 3ie direct and indirect cost policies on the [3ie website](https://www.3ieimpact.org/funding/call-proposals-swashakt-empowering-women-through-collectives-and-group-based-approaches).  *In particular, note that 3ie will not fund any part of the intervention itself.*  **Refer to the table below and the accompanying budget template**. Enter the amounts from the Financial Summary worksheet in the table here. | | | | |
| **Fund Heading** | **3ie Contribution** | **Other Contributions** | **Total** | **% Total** |
| **Personnel** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Consultancy** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Travel** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Additional Cost** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Office Expenses** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Equipment** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Sub-grantee direct cost** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Grantee indirect cost** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Sub-grantee indirect cost** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Total** | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |

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| **PI/STAFF** | | |  | | | | | |
| **Contact Information** | | | | | | | | |
| Communication regarding this application, and if awarded, the grant, will be sent to the contact person and the authorised contract signatory. Please provide postal addresses for both of these individuals, in addition to the information requested below. To fill in postal addresses, please click on the ’Address’ hyperlink next to the record once that has been added. In addition, the applicant may also designate one additional contact person to be copied on email communication only. Please ensure that you include an M&E Professional on your team composition. | | | | | | | | |
| **Name\*** | **Job Title\*** | **Role\*** | | **Email Address\*** | **Postal**  **Address\*** | **Contact phone number\*** | **Skype ID, if available\*** |
| Click here to enter text. | Click here to enter text. | Choose an item. | | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
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| **Staff Duties** |  |
| Please present the names, roles and responsibilities of each post, including for all principal investigators in the table below. If the individual’s name is not yet known, please indicate the position, such as “research assistant”. All principal investigators named in the application will be named as principal investigators in the grant agreement, and changes will be subject to requests for Letters of Variation.  Curriculum vitae (CV) for each of the named principal investigators, including the lead principal investigator named in the contact information section below, must be uploaded on the Uploads tab. E*ach CV should not exceed three pages* and should highlight this information:   * Research experience and up to five publications directly related to impact evaluation, ideally with a focus on the geographic and sectorial context in which the prosed research will take place. * Information on success in communicating findings and facilitating policy uptake.   Please note that 3ie requires that there be at least one Indian researcher with considerable and relevant experience in the team who is involved in a substantial capacity *i.e.* as a PI or a lead PI. Please pay special attention to describing the duties of this researcher(s).  To be acknowledged as an Indian researcher, he/she must be an Indian resident.  *Please add additional rows if necessary.* | |

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| **Role** | | | | | | | | |  | |  | |
|  | **Job Title** | **Name** | **Email** | **Primary organisational affiliation** | **Number of days person will work on project** | **Duties of the person** | **Contact details, including phone number and/or Skype ID** | **Country of the primary organisation** | | **L&MIC researcher?** | |
| Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | | ☐Yes  ☐No | |
| Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | | ☐Yes  ☐No | |
| Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | | ☐Yes  ☐No | |
| Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | | ☐Yes  ☐No | |
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| **ADDITIONAL DOCUMENTS TO INCLUDE** |
| **CV(s):**  CV(s) of all listed principal investigators |
| **Budget:**  Document with budget narrative; detailed budget in Excel© |
| **Theory of Change:**  Document with diagram showing theory of change |
| **Sample size calculations:**  Excel© sheet |