



### **Conflict of Interest**

Any consultant of the International Initiative for Impact Evaluation (hereinafter referred to as 3ie) shall avoid any conflict between his/her own personal, professional, or business interests and the interests of 3ie, in any and all actions taken by them on behalf of 3ie.

#### **What does 3ie mean by interest?**

3ie defines an interest in the following way:

A person has an Interest if the person or his or her family member (including a parent, sibling, partner, spouse or child) has, or in the near future will have, directly or indirectly:

- a compensation\* arrangement or other interest in a transaction with 3ie or with any entity or individual with which 3ie has entered into a transaction or arrangement, or
- an ownership or investment interest in or affiliation with any entity with which 3ie has entered into a transaction or arrangement, or
- an ownership or investment interest in or compensation arrangement or other affiliation with any entity or individual with which 3ie is negotiating, or contemplating negotiating, a transaction or arrangement, or
- any involvement with a project or other matter in which 3ie has an interest.

\*Compensation includes direct and indirect remuneration as well as gifts or favors that are substantial in nature.

#### **What do I have to do if I think there is – or may be – a conflict of interest?**

Any consultant of 3ie that has any direct or indirect interest in any individual or organization which is in a transactional relation with 3ie or proposes to enter into any transaction with 3ie, shall give written notice of such to the Executive Director.

Furthermore, she/he shall recuse him/herself from discussing or making decisions on the particular transaction in which he/she has an interest, otherwise attempting to exert any influence on 3ie, and/or affect 3ie's decision to participate or not participate in such transaction.

If a potential conflict arises during the course of a meeting and it is not practical for the consultant to give the notice to the Executive Director, the consultant should disclose the potential conflict to the Executive Director as soon as practical thereafter, and the Executive Director shall then decide what action is appropriate.

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Transactions having a potential conflict of interest shall include, not limited to the following:

- a. the sale, purchase, lease or rental of any property or other asset by 3ie;
- b. the employment, or rendition of services, personal or otherwise by 3ie;
- c. the award of any grant, contract, or subcontract by 3ie; or
- d. the investment or deposit of any funds of 3ie

Each consultant shall sign a statement when entering into a consultancy contract with 3ie or at the time of extension of consultancy contract which affirms that the consultant (a) has received a copy of this Conflicts of Interest Policy, and (b) has read and understands this Policy, and has agreed to comply with this Policy.

### **CERTIFICATION**

I have received, read carefully, and understand the foregoing Conflicts of Interest Policy.

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
Please Print Name