PTS Application

Title of Proposed Impact Evaluation Study *

Organisation Where the Grant Would Be Held

Legal name* 

Type of organisation* 

Division or department 

Country* 

Website 

What is the organisation's legal status (e.g. Non-Governmental Agency)?* 

Verify that the organisation is legally eligible to receive grants from organizations in other countries? * 

Is the organisation an Associate Member of 3ie?* 

If yes, please select the name from the Associate Membership list 

Verification: The individual authorized to sign the grant agreement has reviewed 3ie's grant agreement documents available on 3ie's website and confirmed that the granting organisation can agree to the terms of the documents. * 

Principal Investigators

Please list all principal investigators for this study. All principal investigators named in the application will be named as principal investigators in the grant agreement, and changes will be subject to request for variation. Curriculum vitae (CV) for each of the named principal investigators, including the lead principal investigator named in the contact information section below, must be uploaded on the Uploads tab. Each CV should not exceed three pages and should highlight field experience and publications directly related to impact evaluation. You may use the template provided if you like.

When you click on + below, the system will begin by asking you for the email address in order to check whether the person is already in our system. You will then be able to enter the required information.

Contact Information

Communication regarding this application, and if awarded, the grant, will be sent to the lead principal investigator and the contract signer (also named on the Organisation Info tab). You must load physical addresses for both of these individuals in addition to the information requested below. To do so, please click on the "+Address" hyperlink next to the record once added. In addition, the applicant may also designate one additional contact person to be copied on email communication only.

<table>
<thead>
<tr>
<th>Role</th>
<th>Title</th>
<th>Name</th>
<th>E-mail</th>
<th>Num of days person will work on Project?</th>
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<tr>
<td>Lead PI</td>
<td></td>
<td>Joe Bloggs</td>
<td><a href="mailto:Jblogg@Raxis.co.za">Jblogg@Raxis.co.za</a></td>
<td>3</td>
</tr>
<tr>
<td>Contract Signer</td>
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<td>Contact Person</td>
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There are no items to display.
Staff Duties
Present the names, roles, and responsibilities for each post, including for all principal investigators named above. If the individual’s name is not yet known, indicate the name of the position, such as “research assistant”. The primary organisation country is the location of the office of the individual’s primary organisational affiliation where that individual is posted. For example, if the headquarters of the organisation is in New Delhi, but the individual is based in the Washington, DC office, the primary organisation country for that individual is the United States. Developing country researchers are defined as developing country nationals resident in a developing country and with a developing country as their primary organisation country.

Involvement of Developing Country Researcher
Describe anticipated activities designed to build the capacity of developing country researchers and organisations to produce impact evaluations and contribute to the policy influence of impact evaluation results (up to 500 words).

IMPL. AGENCY AND FUNDERS

Programme/Intervention being Evaluated
Name of government agency/donor/NGO implementing the program or intervention to be evaluated (“implementing agency”) *

Name of program or intervention *

Program or implementing agency web address

Is the implementing agency a Member of 3ie? *

If yes, please select name from the Member list

Verification: “We have uploaded a written statement from the implementing agency stating its support of this study and explaining how it intends to make use of the findings.” (Upload verification document via the "Uploads" tab at the top of this form.) *

Funders of the Intervention
Are there major funders of the intervention in addition to the implementing agency listed above? Major should be 10% or more of the total cost of the intervention. *

If yes, please list all major funders in addition to the implementing agency.

Are any of these funders Members of 3ie? *

If yes, please select the name of the Member funder who provides the most funding of those funders of this intervention who are members of 3ie. For example, if DFID funds 40% of the program being evaluated and AusAID funds 20%, please select DFID.

INTERVENTION & EVALUATION DESIGN

Summary *
Describe the proposed study in simple terms understandable to general audiences for publication on 3ie’s website and other outlets. (Note: by submitting this application you are granting 3ie permission to use this text.) (up to 400 words)

Intervention *
Describe the program or intervention that you propose to evaluate including the implementing agency’s intended beneficiaries and outcomes. (up to 250 words)

Evaluation questions *
List the main evaluation question(s) to be addressed by the proposed study (up to 250 words)

Evaluation design: internal validity *
Present the main features of the proposed evaluation design (up to 2,500 words). Include the identification strategy; treatment of spillover, John Henry, Hawthorne, and/or survey effects; the data collection methods and instruments; sampling strategies; the complete power calculations; and the risk and treatment of attrition. Also discuss impact heterogeneity and diversity across population sub-groups, particularly disadvantaged groups. Explain what, if any, ethical issues you believe are relevant to the proposed study and how you propose to address them. For applications to carry out CIE-type of studies, describe the dataset, the calibration assumptions, model closure, the nature of the simulations and the social protection impact estimates upon which the study will be based. For applications to analyze context, describe the proposed inclusion/exclusion criteria for evaluations and present the proposed methodology(ies) for analyzing the results of those evaluations.

Evaluation design: external validity
Present the main elements of the proposed study that will contribute to external validity (up to 1,500 words). Include the theory of change, mixed methods approaches, and cost effectiveness analysis.

Policy relevance
Describe the policy relevance of the proposed evaluation (up to 500 words). Policy relevance includes a) the alignment of the evaluation questions with enduring national and international policy challenges and b) the importance of the intervention being evaluated in terms of either its scale or effect size or in terms of an innovation in program (not evaluation) design. In addition, as the Social Protection Thematic Window places a priority on low income countries, please describe the coverage of and relevance for low income countries.

Potential policy impact
Describe the potential policy impact of the proposed evaluation (up to 750 words). Potential policy impact is the extent to which the study may influence national, regional, and international policy. One element of policy impact is the implementing agency’s intended use of the findings, as stated in the accompanying letter. Another important factor for the study’s potential policy impact will be its policy influence plan (PIP). Awarded grantees will be required to submit a complete PIP in the 3ie template prior to grant signing. As part of your answer here, please describe your policy influence objective(s), the primary target audiences (especially the policy stakeholders) for this study, strategies for engaging with policy stakeholders, and the potential risks to policy impact.

Workplan and Deliverables
3ie grant agreements include deliverables schedules that combine regular reporting requirements with technical outputs for the deliverables against which tranches of the grants are paid. Please see 3ie’s reporting requirements document (on the 3ie website) for complete details. Each tranche payment must be justified by one or more technical deliverables in addition to the periodic progress report and utilization report and a letter of continued support from one key stakeholder. The technical deliverables for the first, second-to-last, and last tranche are predetermined. They are responses to the comments for response document and the Policy Influence Plan, the draft final report in 3ie format, and the final report in 3ie format, respectively. Applicants must propose the specific technical deliverables for other desired tranche payments as well as propose the time periods between tranches for the term of the grant. (In the grant agreement itself, all due dates will be stated as number of months from the grant effective date based on the information provided here.) For fewer than seven tranches, just select 0% for the unnecessary rows. Tranche percentages must sum to 100%.
Only the following file types are to be uploaded: doc, docx, xls, xlsx, pdf.
Also, file sizes must be less than 10MB each.
If required, upload a written statement from the implementing agency stating its support of this study and explaining how it intends to make use of the findings.

| CV(s) |
| CV(s) of all listed Principle Investigators |

| Budget |
| Budget Narrative & Detailed Budget |

Documents and Templates to Download
- Download our guideline budget template. (Excel format)
  The template will assist you to supply a budget in a standard format suitable for our review teams and the system.
- Download a very simple guideline CV template. (Word format)